

08/772259



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re International Application of  
Kayoko MASAKI et al.  
U. S. Application Serial No.  
Filing Date:  
For: LIGHT CONTROL ELEMENT AND SURFACE LIGHT SOURCE DEVICE  
OF SIDE LIGHT TYPE

VERIFICATION OF TRANSLATION

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Takahiro UOZUMI, residing at c/o AIWA INTERNATIONAL PATENT  
AGENCY, 2F., Yamagata Bldg., 23-10, Toranomon 1-chome,  
Minato-ku, Tokyo, Japan, declares:

- (1) that I know well both Japanese and English languages;
- (2) that I translated the above-identified from Japanese to English;
- (3) that the attached English translation is a true and correct translation of the above-identified to the best of my knowledge and belief; and
- (4) that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

Takahiro Uozumi  
Takahiro UOZUMI

Date: February 14, 1997

08772259-122306



## TITLE OF INVENTION

LIGHT CONTROL ELEMENT AND SURFACE LIGHT  
SOURCE DEVICE OF SIDE LIGHT TYPE

## 5 BACKGROUND OF THE INVENTION

## 1. Field of the Invention:

This invention relates to a surface light source device of side light type to be applied to a liquid crystal display and the like, and more particularly to a  
10 surface light source device of side light type, in which a light guide plate directive in light emission is used, and a light control element suitable for use in the device.

## 2. Description of the Related Art:

It has been a common practice to <sup>the thickness of</sup> reduce a surface  
15 light source device of side light type ~~in thickness for~~  
~~use~~ in a back lighting arrangement in a liquid crystal display panel or the like. The surface light source device of side light type includes a light guide plate and a primary light source disposed sideways of the light  
20 guide plate. The primary light source is a rod-like light source, such as a cold cathode ray tube, <sup>illumination</sup> ~~illumination~~  
light from <sup>the primary light source</sup> ~~which~~ is introduced into the light guide plate from its incidence end surface. The light introduced into  
25 exiting surface of the light guide plate toward the liquid crystal panel.

The conventional surface light source devices of side light type are classified into two groups, one using a light guide plate having a substantially uniform  
30 thickness, and the other using a light guide plate gradually decreasing in thickness away from the primary light source.

FIG. 11 is an exploded perspective view showing the

latter type surface light source device. <sup>In the Assembly of</sup> ~~For assembling~~  
the surface light source device 1, ~~as~~ shown in FIG. 11, a  
primary light source 3 is placed sideways of a light guide  
plate (a scattering light guide plate) 2 made of  
5 scattering light guide material, whereupon a reflecting  
sheet 4, the scattering light guide plate 2 and a prism  
sheet 5, which serves as a light control element, as well  
as a light diffusible sheet 6 are placed one over another  
into a laminate form.

10 The primary light source 3 for supplying light to the  
light guide plate 2 is formed by surrounding a  
fluorescence lamp 7 in the form of a cold cathode ray tube  
with a reflector 8 of a substantially semicircular cross  
section. From the open side of the reflector 8,  
15 illumination light comes in the incidence end surface of  
the light guide plate 2. The reflecting sheet 4 is in the  
form of a sheet-like regular reflecting member such as a  
metal foil or a sheet-like irregular reflecting member  
such as a white PET film.

20 The scattering light guide plate 2 is a light guide  
plate having a wedge-shape cross section. The material of  
the scattering light guide plate 2 is prepared by  
uniformly dispersing, in a matrix of, for example,  
polymethylmetacrylate (PMMA), light permeable particles  
25 having a refractive index different from that of the  
matrix.

FIG. 12 is a cross-sectional view taken along line  
A-A of FIG. 11. As is understood from FIG. 12,  
illumination light L is introduced into the scattering  
30 light guide plate 2 from the incidence end surface T  
relatively near the primary light source 3. The  
introduced illumination light L is propagated as it is  
scattered by the light permeable particles; or in the

presence of a reflecting sheet having a reflecting sheet 4  
for causing scattered reflection, the illumination light L  
is propagated as it is repeatedly reflected between a  
plane (hereinafter called "slope") at the side of the  
5 reflecting sheet 4 and another plane (hereinafter called  
"exiting surface") of the prism sheet 5 with additional  
scattered reflection by the reflecting sheet 4.

During this propagation of the illumination light L,  
the component of an angle less than a critical angle with  
10 respect to the exiting surface tends to be emitted from  
the exiting surface as the incidence angle with respect to  
the exiting surface is lowered upon every reflection on  
the slope side. The illumination light L1 to be emitted  
from the exiting surface undergoes scattering by light  
15 permeable particles in the scattering light guide plate 2  
while propagated with scattered reflection by the  
reflecting sheet 4.

However, since its component of an angle less than  
the critical angle is emitted as the illumination light L1  
20 is propagated with reflection on the slopes inclined with  
respect to the exiting surface in the propagating  
direction, the main emitting direction is inclined toward  
the distal end of the wedge shape as shown in FIG. 13  
showing, on an enlarged scale, a portion B of FIG. 12.  
25 Therefore the surface light source device 1 of side light  
type, which generates illumination light L1 with  
directivity, is called "directive-emitting surface light  
source device of side light type".

The prism sheet 5 serves to correct this directivity  
30 of emission. The prism sheet 5 is in the form of a light  
permeable sheet-like member of, for example, polycarbonate  
having a prismatic surface on one side relatively near to  
the scattering light guide plate 2. This prismatic

surface has a great number of projections extending substantially parallel to the incidence end surface T of the scattering light guide plate 2 and are repeatedly arranged from the side of the incidence end surface T toward the distal end of the wedge shape of the scattering light guide plate 2.

The prism sheet 5 allows the main component of the illumination light L1 from the scattering light guide plate 2 to come inside from the light source side's slopes (hereinafter called the "light-source-side slopes") M1 of the triangular projections, whereupon the prism sheet 5 reflects the main component by the slopes (hereinafter called the "exiting slopes") M2 opposite to the light-source-side slopes M1 and then emits it after reflecting by the slopes M2.

As a result, the main emitting direction of the illumination light L1 is corrected to the frontal direction (normal direction) of the exiting surface. Through this action, the surface light source device 1 of side light type can emit the illumination light frontwards more efficiently as compared with the surface light source device of side light type using a light guide plate having a uniform thickness.

The light diffusible sheet 6 is the form of a light permeable sheet-like member of, for example, polycarbonate and is roughened at the incidence surface and/or the exiting surface. Thus the light diffusible sheet 6 diffuses the emitting light of the prism sheet 5 to secure a desired angle of field of vision when forming a liquid crystal display.

The light guide plate having a directivity of emission is formed into a wedge shape or a generally wedge shape using light permeable or semitransparent material

and may have a light scattering film on the exiting surface and/or the back surface. The surface light source device of side light type using such light guide plate also can emit the illumination light to the front side efficiently.

However, in this surface light source device 1, when the exiting surface is observed from the front side, it is inevitable that the reflecting sheet 4 disposed under the scattering light guide plate 2 can be see-through.

Therefore the color of the reflecting sheet 4 is recognized so that the quality of illumination or display is lowered.

As is understood from FIG. 14, the main component of the incoming illumination light of the light diffusible sheet 6 is a component reflected by the exiting-surface slopes M2 of the prism sheet 5. Then some of the component scattered by the scattering light guide plate 2 comes in from the light-source-side slopes M1 of the prism sheet 5.

Consequently, in the exiting surface of the light diffusible sheet 6, regions AR to be intensely illuminated and regions DR to be relatively faintly illuminated are repeatedly formed at minute distances corresponding to the shapes of projections of the prism sheet 5. In observing a liquid crystal panel illuminated from <sup>the</sup> back side by illumination light of the surface light source device 1 of side light type, since the exiting surface of the light diffusible sheet 6 is seen through the crystal panel, the color of the reflecting sheet 4 disposed under the scattering light guide plate 2 is recognized via the relatively faintly illuminated regions DR so that the display quality would be affected.

SUMMARY OF THE INVENTION

08730259-122306

This invention has been proposed in order to overcome the foregoing problems. It is therefore an object of the invention to improve a surface light source device of side light type and a prism sheet, which is to be used in the device, in such a manner that a reflecting sheet is prevented from being ~~seen as~~ observed from the exiting-surface side, thus improving the quality of illumination light to be emitted from <sup>the</sup> exiting surface.

A light control element according to this invention is characterized by slopes provided with light diffusible surfaces so that a reflecting sheet is prevented from being seen from the exiting-surface side of a light guide plate of a surface light source device of side light type in which <sup>a</sup> the light control element is used, thus improving the quality of illumination.

This invention is applied to a light control element having a prismatic surface on at least <sup>a light entrance</sup> one side. <sup>thereof</sup> The prismatic surface of the light control element has a great number of repeated projections having slopes inclined to <sup>with respect</sup> the general plane of the light control element, the slopes provided with light diffusible surfaces.

Preferably, these great number of projections extend in one common direction and are repeated arranged in a direction perpendicular to the above-mentioned one common direction, each of the projections having a substantially triangular cross section.

This invention is embodied also by a surface light source device of side light type which allows illumination light to come inside from an incidence end surface of a light guide plate, gradually decreasing in thickness away from the end surface, and emits from an exiting surface of a plate-like member the illumination light as deflected. The surface light source device of side light type

according to this invention includes a light control element disposed along its exiting surface and having a prismatic surface on at least one side toward the light guide plate, the prismatic surface having a great number of repeated projections having slopes inclined to the general plane of the light control element, the slopes defining light diffusible surfaces.

Preferably, these great number of projections extend in one common direction and are repeatedly arranged in a direction perpendicular to the above-mentioned one common direction, each of the projections having a substantially triangular cross section.

With this arrangement, the slopes of the projections of the prismatic surface formed on the light-guide-plate-side surface of the light control element, which is incorporated in the surface light source device of side light type, has a light diffusing function. As a result, the illumination light diffused by these slopes is emitted via the exiting surface of the prism sheet. Since the illumination light diffused by the slopes enters the exiting surface of the light control element substantially uniformly from inside, it is possible to retard the reflecting sheet from being seen from the exiting-surface side.

This invention will now be described more in detail with reference to the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view showing a prism sheet, which serves as a light control element to be used in a surface light source device of side light type according to one embodiment of this invention;

FIG. 2 is an exploded perspective view of a surface light source device of side light type in which the prism



sheet of FIG. 1 is used;

FIG. 3 is an explanatory cross-sectional view of the prism sheet of FIG. 1;

FIG. 4 is a characteristic curve showing directivity  
5 in the absence of any prism sheet in the surface light source device of side light type of FIG. 2;

FIG. 5 is a characteristic curve showing an actual directivity in comparison with FIG. 4;

FIG. 6 is a cross-sectional view showing a modified  
10 prism sheet, in which the light-source-side slopes instead of the exiting-surface-side slopes are roughened;

FIG. 7 is a cross-sectional view showing another modified prism sheet, in which both the exiting-surface-side slopes and the light-source-side  
15 slopes are roughened;

FIG. 8 is a cross-sectional view showing still another modified prism sheet, in which the exiting-surface-side slopes and the light-source-side slopes are asymmetrical;

FIG. 9 is a perspective view showing a further  
20 modified prism sheet, which has projections on both the incidence surface and the exiting surface;

FIG. 10 is a perspective view showing an additional modified prism sheet according to another embodiment;

25 FIG. 11 is an exploded perspective view showing a conventional surface light source device of side light type;

FIG. 12 is a cross-sectional view taken along line A-A of FIG. 11;

30 FIG. 13 is a cross-sectional view explaining the manner in which a prism sheet and a light diffusible sheet act in the conventional surface light source device of side light type of FIG. 11; and

FIG. 14 is an explanatory cross-sectional view in connection with the prism sheet and the light diffusible sheet of FIG. 13.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

5 FIG. 2 is a perspective view showing a surface light source device of side light type according to a first embodiment of this invention. Regarding the surface light source device 10 of side light type, parts or elements similar to those of the conventional surface light source device of FIGS. 11 and 12 are designated by like reference numerals, and repetition of description is omitted here.

A reflecting sheet 11 is disposed along an inclined side of a scattering light guide plate 2 which receives light supply from a fluorescent lamp 6. *7 backed by reflector 8*  
15 sheet 11 is a regular reflection sheet-like member evaporated of silver and *efficiently* sends back illumination light, which leaks from the inclined surface of the scattering light guide plate 2, efficiently into the scattering light guide plate 2.

20 On the other hand, a prism sheet 12 *is* disposed along the exiting surface of the scattering light guide plate 2 as a light control element *Prism sheet 12* is a composite element serving as the prism sheet 5 and the light diffusible sheet 6 described in connection with FIG. 11. Accordingly the surface light source device 10 of side light type does not require a separate light diffusible sheet and hence is *more* simple in whole construction.

30 FIG. 1 is a perspective view of the prism sheet 12 as seen from the side of the scattering light guide plate 2. In FIG. 1, the prism sheet 12 is a light permeable sheet made of, for example, polycarbonate and has a prismatic surface on one surface relatively near the scattering light guide plate 2. This prismatic surface has a great

number of projections extending substantially parallel to an incidence end surface T of the scattering light guide plate 2 and are repeatedly arranged from the side of the incidence end surface T toward the distal end of a wedge shape of the scattering light guide plate 2, each of the  
5 projections having a triangular cross section.

B  
0872259-122306  
B  
The prism sheet 12 allows a main component of illumination light L1 from the light-diffusible guide light plate 2 to come inside from the light-source-side  
10 slopes M1 of the projections, and then reflects the illumination light by the exiting-surface slopes M2 corresponding to the light-source-side slopes M1 to emit the illumination light from the slopes M2, thereby correcting or curbing the main emitting direction of  
15 illumination light frontward relative to the exiting surface.

Further, in the prism sheet 12, the exiting-surface slopes M2 are roughened by sandblasting and hence serve as light-diffusible surfaces. Therefore, as shown in FIG. 3,  
20 reflection by the exiting-surface slopes M2 makes the illumination light L1, diffused and emitted from the exiting surface over a widened <sup>angular range</sup> ~~range of angle~~ commensurate with the degree of roughness of the slopes M2. As a result, the surface light source device 10 of side light  
25 type can secure a desired angle of field of vision without using a separate light-diffusible sheet.

B  
Furthermore, as the exiting-surface slopes M2 of the prism sheet 12 are roughened, it is possible to expand an exiting-surface-side region AR of the prism sheet 12 which  
30 region is to be illuminated by the illumination light L1 reflected by a single exiting-surface slope M2. Accordingly, it is possible to illuminate the exiting surface of the prism sheet 12 <sup>in a</sup> substantially <sup>uniform manner</sup> ~~uniformly~~ from

inside, thereby eliminating the region DR (FIG. 14) which would have been relatively less intensively illuminated with the conventional arrangement.

Therefore, in this embodiment, when the surface light source device 10 of side light type is observed from the exiting-surface side, metallic luster of the reflecting sheet 11 cannot be seen from that side.

B  
10 Preferably, the size of the triangular projections and the degree of roughness of the rough surfaces should be selected ~~under~~ <sup>in</sup> consideration of the principle that the illumination light L1 reflected by each exiting-surface slope makes each exiting-surface-side region AR of the prism sheet 12 expanded and illuminated.

Our experiments indicate that the prism sheet 12 of this invention emitted illumination light with a practically acceptable quality, and secures a practically adequate angle of field of vision under the following condition:

- Cross-sectional
- 20 shape of projections: isosceles triangle
- Pitch T of projections: 50mm
- Vertical angle  $\alpha$ : ranging from 60° to 70°
- Arithmetic mean roughness Ra (surface roughness according to JIS B0031-1994) of exiting-
- 25 surface slopes M2: ranging from 0.01 to 0.05mm
- Mean roughness Rz of ten points of exiting-
- surface slopes M2: ranging from 0.1 to 0.5mm

where Ra and Rz are units of surface roughness according to JIS B0031-1994.

B  
B  
B  
30 With regard to the ~~angle of~~ vertical angle  $\alpha$ , assuming that the prism sheet 12 is actually installed in ~~an equipment~~ <sup>equipment such</sup> as a liquid crystal display panel, necessary directivity varies according to the type of ~~the~~ equipment;

for vertical angle ~~the~~  
a preferable practical range of angle is from 55° to 75°.

FIG. 4 is a characteristic curve showing directivity in the absence of the prism sheet 12 according to the foregoing condition. It is understood from this  
5 characteristic curve that illumination light was emitted in a direction inclined chiefly to the distal end of the wedge shape. In this measuring, the frontal direction (normal direction) of the exiting surface was defined as an angle of 0 degree, and the light-source side and the  
10 distal-end-of-wedge-shape side were defined as a negative direction and a positive direction, respectively.

To the contrary, FIG. 5 is a characteristic curve showing directivity in ~~the case of the roughened~~  
exiting-surface slopes (symbol L3), ~~in comparison with case~~  
15 ~~of the unroughened exiting-surface slopes (symbol L4).~~ *Compared* In this case, it is understood that the angle of field of vision was expanded without a light diffusible sheet.

By ~~thus~~ roughening the exiting-surface slopes M2 of the prism sheet 12 to form a light diffusible surface, it  
20 is possible to illuminate the exiting surface of the prismatic surface 12 *in a* substantially *uniform manner* for emission of illumination light. As a result, the color of the reflecting sheet 11 *is not* ~~cannot~~ be recognized when ~~it is~~  
observed from the front side, thus improving the quality  
25 of illumination.

In the foregoing embodiment, the exiting-surface slopes M2 of the prism sheet 12 are roughened. But this invention should by no means be limited to this.

For example, the light-source-side slopes M1 may be  
30 roughened as shown in FIG. 6. In this case, illumination light incoming from the light-source-side slopes M1 is previously diffused and is directly reflected by the exiting-surface slopes M2, whereupon the illumination

light is emitted from the exiting surface of the prism sheet 21. As a result, the exiting surface of the prism sheet 21 is uniformly illuminated so that the color of the reflecting sheet 11 cannot be recognized from the front side.

For example, like an alternative prism sheet 31 shown in FIG. 7, both the exiting-surface slopes M2 and the light-source-side slopes M1 may be roughened, achieving a similar result.

Further, in the foregoing embodiment, the prismatic surface are formed by a great number of repeated projections each having an isosceles triangular cross section; but this invention should by no means be limited to this illustrated example.

For example, like another alternative prism sheet 41 show in FIG. 8, the light-source-side slopes M1 and the exiting-surface slopes M2 are asymmetrical and are roughened, achieving a similar result. In this case, by selecting a range of 40° to 50° for the vertical angle  $\alpha$ , the above-mentioned range for the degree of roughness of the slopes M1, M2, and the front side for the directivity, it is possible to secure <sup>an</sup> ~~a practically~~ adequate quality of illumination and <sup>an</sup> ~~adequate~~ angle of field of vision. Regarding the vertical angle  $\alpha$ , a practical range of angle is from 40° to 55°.

Furthermore, in the foregoing embodiment, the prismatic surface is formed on the side of the scattering light guide plate, <sup>to this example</sup> ~~but this invention should by no means be limited.~~ For example, like still another alternative prism sheet 51 shown in FIG. 9, a prismatic surface may be formed on both surfaces. Namely, also in this case, for the prismatic surface on the side of scattering light guide plate, the light-source-side slopes and/or the

exiting-surface slopes may be roughened, achieving a similar result.

H  
B  
B  
B  
0872259-432306

In addition, in the foregoing embodiment, the prism sheet has a great number of parallel repeated projections, each having a triangular cross section, but this invention should by no means be limited to this example. ~~for example~~ ~~as~~ long as each of the repeated projections of triangular cross section has slopes inclined to the general plane of the prism sheet, the projections of a predetermined length may be varied in pitch little by little, so that a wide variety of shapes of prism sheets can be obtained.

From another point of view, in the foregoing embodiment, the exiting-surface side of the prism sheet is not roughened to substantially define a mirror surface, but this invention should by no means be limited to this example. Namely, the exiting-surface side also may be roughened. With this structure, because of both the degree of roughness of the exiting-surface side and the degree of roughness of the incidence-surface side, it is possible to improve the quality of illumination light and to expand a selective angular range of field of vision.

From still another point of view, in the foregoing embodiment, the slopes of the prism sheet is roughened by sandblasting, but this invention should by no means be limited to this example. For example, the slopes of the prism sheet may be roughened by a wide variety of other methods, such as matting and chemical etching. As means equivalent to the rough surface, white ink may be printed on the slope to form the light diffusible surface.

B

Also regarding the light guide plate, this invention is not limited to the wedge shape ~~in~~ cross section, and may be widely applied to a light source device of side light

type in which a light guide plate having a directivity of emission is used.

*B* Additionally, regarding the incidence end surface of the light guide plate, it may be unnecessary that

5 illumination light comes inside from only a single end surface. Namely, this invention can be applied also to a surface light source device of side light type in which illumination light comes inside from a plurality of end surfaces. The material of the light guide plate may not  
10 be made of scattering light guide material. This invention can be widely applied to a surface light source device of side light type in which a light guide plate with emission directivity is employed.

In the foregoing embodiment, this invention is  
15 applied to a liquid crystal display back lighting arrangement, but should by no means be limited to this example. This invention may be widely applied to illumination arrangements for various illuminators and displays.

20